

INVESTOR COMPLAINTS DATA, FOR THE MONTH ENDING :Nov.2022

BY: STOCK BROKER – Drishti Shares & Investments Pvt Ltd

| S.No. | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|--------------------|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 2 | SEBI (SCORES) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 3 | Stock Exchanges | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| 4 | Other Sources (If any) | NIL | NIL | NIL | NIL | NIL | NIL | NIL |
| Grand Total | | | | | | | | |

(Investors Complaint Data to be displayed by Stock Brokers on their respective websites, for every month ending)

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.